PATENT



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Christina L. Vann

Applicant

: Craig L. Ogg, et al.

Confirmation No. 1642

Application No.

: 09/688,452

Filed

: October 16, 2000

Title

: ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR

SECURE PROCESSING OF VALUE-BEARING ITEMS

Grp./Div.

: 3621

Examiner

: Firmin Backer

Docket No.

: 40628/S850

INFORMATION DISCLOSURE STATEMENT WITH FEE UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 March 17, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. A copy of each of the (2) OTHER DOCUMENTS is enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

Application No. 09/688,452

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Raymond R. Tabandeh

Reg. No. 43,945 626/795-9900

RRT/clv

Enclosures: Check \$180

Copy of IDS

Form PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	40628/S850
	Application Number	09/688,452
O INFORMATION DISCLOSURE	Filing Date	October 16, 2000
STATEMENT BY APPLICANT MAR 21 THE MENT BY APP	Applicant(s)	Craig L. Ogg et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE		
		5,265,221	11-23-1993	Miller		
		6,009,417	12-28-1999	Brookner et al.		
		6,049,671	04-11-2000	Slivka et al.		
		6,473,743 B1	10-29-2002	Ryan, Jr.		
		6,546,377 B1	04-08-2003	Gravell et al.		
		6,587,880 B1	07-01-2003	Saigo et al.		
		US 2002/0095383 A1	07-18-2002	Mengin et al.		
	-	US 2005/0114712 A1	05-26-2005	Devine et al.		

OTHER DOCUMENTS				
EXAMINER Cite INITIALS No. 1		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposite catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		FICKEL, Louise, "Know Your Customer," Leaders for the Next Millennium, CIO Magazine, 08/15/1999, pages 1-10		
		SAGNER, James S., "Protecting Organizations from Electronic-Transaction Fraud", Healthcare Financial Management; Westchester; Feb 1995		

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EXAMINER SIGNATURE	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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